

# Analytic Theory of ELM Suppression by Static RMPs in DIII-D Tokamak

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## Introduction

- In DIII-D H-mode discharge 158115<sup>a</sup>  $n = 2$  RMPs successfully used to suppress ELMs.
- TM1 is multi-harmonic, cylindrical, five-field, nonlinear, initial value code.
- Recent TM1 simulations<sup>b</sup> explain observed features of ELM suppression in DIII-D discharge 15811 in terms of mode penetration at  $8/2$  rational surface (at top of pedestal) and at  $11/2$  surface (at bottom of pedestal). Penetration at  $11/2$  surface produces density pump-out. Penetration at  $8/2$  surface responsible for ELM suppression.
- IFS has constructed single-harmonic analytic model to gain more exact understanding of phenomena seen in TM1 simulations.

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<sup>a</sup>R. Nazikian, et al., PRL **114**, 105002 (2015).

<sup>b</sup>Q. Hu, et al., submitted to Nature Physics (2019).

## IFS Analytic Model

$$\mathcal{I} \frac{d\hat{W}}{d\hat{t}} = -\Delta + \mathcal{A} \left( \frac{\hat{W}_v}{\hat{W}} \right)^2 \cos \varphi,$$

$$\frac{d\varphi}{d\hat{t}} = \hat{\omega},$$

$$\hat{\omega} = \hat{\omega}_0 - \hat{\omega}_\theta(\hat{r}_s, \hat{t}) - \hat{\omega}_\phi(\hat{r}_s, \hat{t}),$$

$$(1+2q_s^2) \hat{r}^3 \frac{\partial \hat{\omega}_\theta}{\partial \hat{t}} - \nu_\mu \frac{\partial}{\partial \hat{r}} \left( \hat{r}^3 \frac{\partial \hat{\omega}_\theta}{\partial \hat{r}} \right) + \nu_\theta \hat{r}^3 \hat{\omega}_\theta = \frac{\mathcal{A} \hat{W}_v^2 \hat{W}^2}{\hat{W}_0^4} \sin \varphi \delta(\hat{r} - \hat{r}_s),$$

$$\hat{r} \frac{\partial \hat{\omega}_\phi}{\partial \hat{t}} - \nu_\mu \frac{\partial}{\partial \hat{r}} \left( \hat{r} \frac{\partial \hat{\omega}_\phi}{\partial \hat{r}} \right) + \nu_\phi \hat{r} \hat{\omega}_\phi = \left( \frac{\epsilon_a}{q_s} \right)^2 \frac{\mathcal{A} \hat{W}_v^2 \hat{W}^2}{\hat{W}_0^4} \sin \varphi \delta(\hat{r} - \hat{r}_s),$$

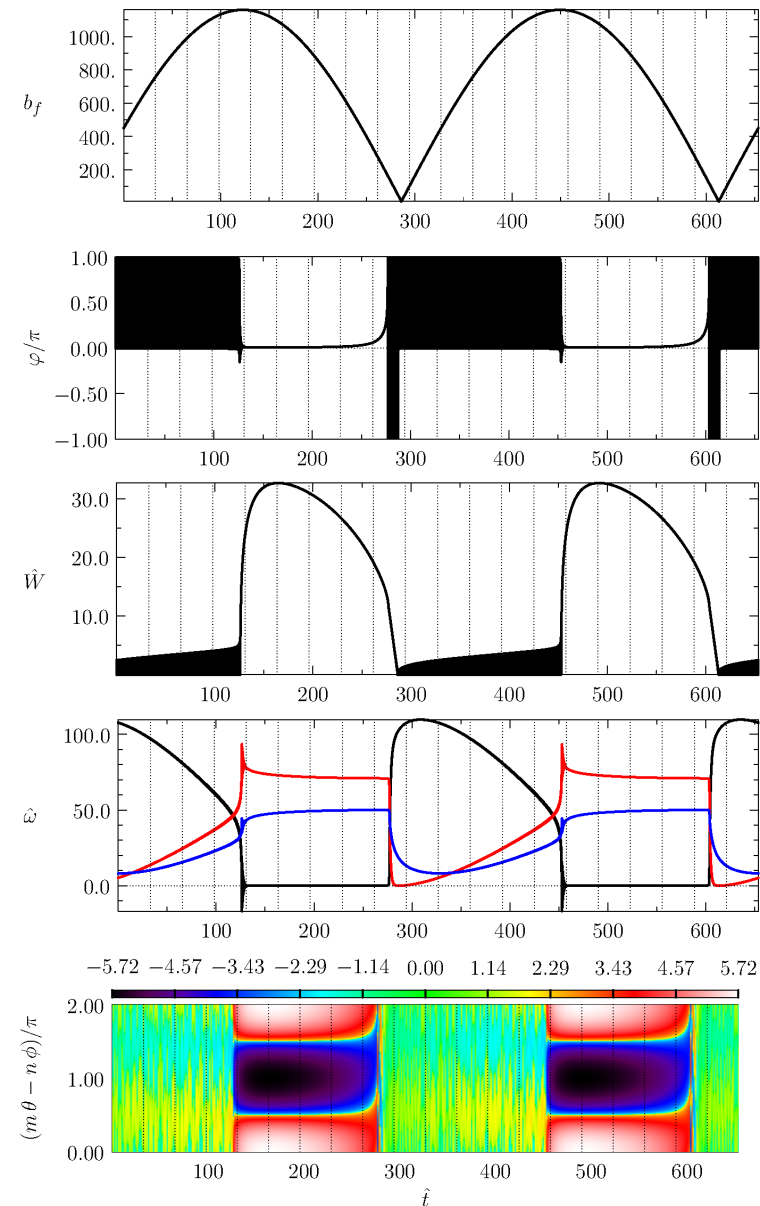
$$\frac{\partial \hat{\omega}_\theta(0, \hat{t})}{\partial \hat{r}} = \frac{\partial \hat{\omega}_\phi(0, \hat{t})}{\partial \hat{r}} = \hat{\omega}_\theta(1, \hat{t}) = \hat{\omega}_\phi(1, \hat{t}) = 0.$$

## IFS Analytic Model

- Width and helical phase of RMP-driven island chain governed by Rutherford equation and no-slip constraint, respectively.
- Poloidal and toroidal angular velocities of plasma governed by respective equations of motion. Equations contain quasi-linear electromagnetic locking torque due to RMP, perpendicular viscosity, and poloidal and toroidal flow damping.
- Measured (and estimated) plasma parameters at  $8/2$  and  $11/2$  rational surfaces in DIII-D discharge 15811 used to calculate appropriate parameters in model.
- Amplitude of RMP oscillates sinusoidally at 1 Hz (as in experiment).



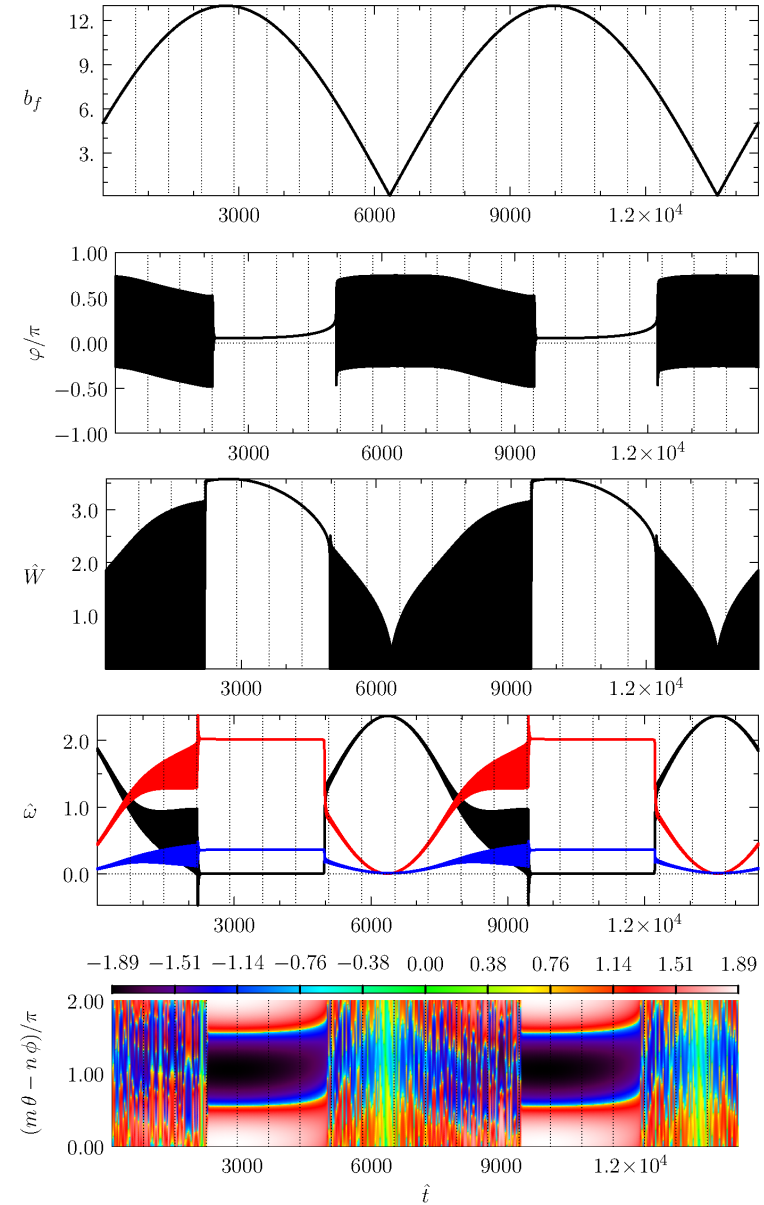
Figure 1: Response at 8/2 rational surface in DIII-D discharge 158115. Panel 1: Applied RMP. Panel 2: Helical phase of reconnected flux. Panel 3: Magnetic island width (normalized to linear layer width). Panel 4: Natural frequency (black); shift in plasma poloidal (red) and toroidal (blue) angular velocity. Panel 5: Simulated Mirnov data. Time normalized to linear reconnection time.



## Response at 8/2 Rational Surface

- Model response at 8/2 surface similar to experimental data.
- Plasma flow causes strong shielding (factor of 120) of RMP-driven reconnected flux.
- Shielding completely breaks down when RMP amplitude exceeds critical value (about 30 gauss at rational surface).
- Breakdown of shielding associated with toroidal velocity shift in co-current direction of 30 km/s, and poloidal velocity shift (strongly localized in vicinity of rational surface) in ion diamagnetic direction of 5 km/s.
- Even in shielded state, island width exceeds linear layer width (which is only about 2.5 mm).

Figure 2: Response at  $11/2$  rational surface in DIII-D discharge 158115. Panel 1: Applied RMP. Panel 2: Helical phase of reconnected flux. Panel 3: Magnetic island width (normalized to linear layer width). Panel 4: Natural frequency (black); shift in plasma poloidal (red) and toroidal (blue) angular velocity. Panel 5: Simulated Mirnov data. Time normalized to linear reconnection time.



## Response at $11/2$ Rational Surface

- Model response at  $11/2$  surface similar to experimental data.
- Plasma flow insufficient to cause effective shielding of RMP-driven reconnected flux.
- Reconnected flux modulates sinusoidally in sympathy with RMP amplitude.
- Island width always exceeds linear layer width (which is only about 5 mm).

## Natural Frequency

- Natural frequency,  $\omega_0$ , is helical phase velocity of naturally unstable tearing mode at rational surface.
- Expect mode penetration to be facilitated at given rational surface when associated natural frequency close to zero.
- After mode penetration at given rational surface, expect associated natural frequency to be pinned to zero.

## Linear Natural Frequency

- According to linear theory:

$$\omega_0 = -n (\omega_E + \omega_{*e}) \equiv -n \omega_{\perp e},$$

where  $n$  is toroidal mode number,  $\omega_E = E_r / (R_0 B_\theta)$  is  $\mathbf{E} \times \mathbf{B}$  frequency, and  $\omega_{*e} = (dp_e/dr) / (e n_e R_0 B_\theta)$  is electron diamagnetic frequency.

## Nonlinear Natural Frequency

- According to nonlinear theory:

$$\omega_0 = -n \omega_E - n \left( 1 - \frac{\eta_i \lambda_{\theta i}}{1 + \eta_i} \right) \omega_{*i},$$

where  $\omega_{*i} = -(dp_i/dr)/(e n_e R_0 B_\theta)$  is ion diamagnetic frequency, and

$$\lambda_{\theta i} = \frac{5}{2} - \left[ \frac{Z_{\text{eff}} + 1/\sqrt{2} - 1}{Z_{\text{eff}} + \sqrt{2} - \ln(1 + \sqrt{2}) - 1} \right].$$

- For 8/2 rational surface in DIII-D discharge 158115,  $Z_{\text{eff}} = 2.5$  and  $\eta_i = 1.9$ , giving

$$\omega_0 = -n (\omega_E + 0.073 \omega_{*i}) \simeq -n \omega_E.$$

## Experimental Natural Frequency

- Experimental data from DIII-D<sup>a</sup> clearly indicates that natural frequency at top of pedestal similar to  $\mathbf{E} \times \mathbf{B}$  frequency,

$$\omega_0 \simeq -n \omega_E,$$

and significantly different from  $-n \omega_{\perp e}$ .

- Nonlinear model (which also predicts  $\omega_0 \simeq -n \omega_E$  at top of pedestal) much more consistent with experimental data than linear models.

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<sup>a</sup>C. Paz-Soldan, et al., NF **59**, 056012 (2019).



## Summary

- Analytic model broadly consistent with experimental data.
- Strong shielding of reconnected magnetic flux at top of pedestal; almost no shielding at bottom.
- Driven island width exceeds linear layer width even in strongly shielded state. Must use nonlinear, rather than linear, theory to model plasma response.
- Nonlinear theory predicts natural frequency at top of pedestal that is consistent with experimental data. Linear theory predicts wrong natural frequency.

| $m$          | $n$        | $B_\phi$      | $R_0$       | $a$  | $n_e$ | $T_e$    | $T_i$         | $\eta_i$ | $Z_{\text{eff}}$ |
|--------------|------------|---------------|-------------|------|-------|----------|---------------|----------|------------------|
| -8           | 2          | -1.94         | 1.75        | 0.93 | 2.8   | 1.4      | 1.4           | 1.9      | 2.5              |
| -11          | 2          | -1.94         | 1.75        | 0.93 | 0.75  | 0.12     | 0.12          | 1.8      | 2.5              |
| $\chi_\perp$ | $\omega_E$ | $\omega_{*e}$ | $\hat{r}_s$ | $s$  | $M$   | $\Delta$ | $\mathcal{A}$ |          |                  |
| 1.0          | -21.3      | -21.5         | 0.853       | 2.3  | 2.0   | 1.0      | 1.0           |          |                  |
| 1.0          | -9.8       | -12.8         | 0.974       | 11.2 | 2.0   | 1.0      | 1.0           |          |                  |

Measured and estimated physics parameters at two rational surfaces in pedestal of DIII-D discharge 158115.  $m$  - poloidal mode number,  $n$  - toroidal mode number,  $B_\phi$  - toroidal magnetic field (T),  $R_0$  - major radius (m),  $a$  - minor radius (m),  $n_e$  - electron number density ( $10^{19} \text{ m}^{-3}$ ),  $T_e$  - electron temperature (keV),  $T_i$  - ion temperature (keV),  $\eta_i = d \ln T_i / d \ln n_e$ ,  $Z_{\text{eff}}$  - conventional measure of impurity content,  $\chi_\perp$  - perpendicular momentum diffusivity ( $\text{m}^2 \text{ s}^{-1}$ ),  $\omega_E = \mathbf{E} \times \mathbf{B}$  frequency ( $\text{krad s}^{-1}$ ),  $\omega_{*e}$  - electron diamagnetic frequency ( $\text{krad s}^{-1}$ ),  $\hat{r}_s$  - rational surface radius normalized to the plasma minor radius,  $s$  - magnetic shear,  $M$  - majority ion mass number,  $\Delta \equiv \Delta' r_s / (2 a)$ ,  $\mathcal{A}$  - amplification factor.